



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of: DENNIS et al.

Serial No.: 09/746,507

Filed: December 26, 2000

For: SYSTEM FOR FACILITATING TECHNICIAN SALES REFERRALS

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner of  
Patent and Trademarks  
Washington, D.C. 20231

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**GROUP 3600**

Sir:

Pursuant to 37 CFR 1.56 and 1.97(b), the attention of the Patent and Trademark Office is hereby directed to the following references listed on the attached PTO-1449 and the attached supplemental sheet listing related pending patent applications. The undersigned believes that this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. Accordingly, Applicants do not believe that a fee is due for filing this paper.


A Copy of the document listed on the PTO-1449 is attached. Applicants respectfully request that the Examiner consider the listed document and evidence that consideration of relevant portions thereof by making appropriate notations on the attached form.

This submission does not represent that each or all of the listed documents are material or constitute "prior art." If it should be determined that the listed document does not constitute "prior art" under United States law, Applicants reserve the right to present to the office the relevant facts and law regarding the appropriate status of each document.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over the listed document, should one or more of the documents be applied against the claims of the present application.

If there is any fee due in connection with the filing of this Statement, please charge the fee to our Deposit Account No. 50-1390.

Respectfully submitted,

 for  
Reg. No. 44,615

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Michael S. Lee  
Reg. No. 41,434  
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Date: May 29, 2001

Attorney Docket No.: BS99-202



Attorney Docket No. BS99-202  
Application No. 09/746,507

**SUPPLEMENTAL SHEET  
LISTING  
RELATED PENDING PATENT APPLICATIONS**

Examiner's  
Initials:

Serial No.:

09/343,815

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\_\_\_\_\_  
Examiner

\_\_\_\_\_  
Date Considered

**DO NOT PRINT THE ABOVE CITATION(S) IN ANY PATENT THAT ISSUES FROM  
THE ABOVE-CAPTIONED APPLICATION OR ANY OTHER RELATED  
APPLICATION.**

Examiner: Initial if citation considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Use several sheets if necessary)

BS99-202

**'09/746,507**

**Applicant(s)**

DENNIS et al.

**Filing Date**

**December 26, 2000**

### Group Art Unit

2161

U.S. PATENT DOCUMENTS

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## FOREIGN PATENT DOCUMENTS

	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
							YES	NO

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)


**EXAMINER**

**DATE CONSIDERED**

**EXAMINER:** Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

BS99-202

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

DENNIS, ET AL.

Serial No.: 09/746,507

Filed: 12/26/00

For: SYSTEM FOR FACILITATING  
TECHNICAL SALES REFERRALS

Art Unit: 2161

Examiner: Not Yet Assigned

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents  
Washington, D.C. 20231

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Applicants wish to make of record in the above-identified application the document or documents referenced on the attached Form PTO-1449. A copy of each reference is enclosed herewith.

The undersigned believes that this Information Disclosure Statement is being filed before the mailing date of a first Office Action on the merits for the above-referenced application. Accordingly, Applicants do not believe that a fee is due for filing this paper. However, should a first action on the merits have been issued on the same day or before this Information Disclosure Statement is filed, please accept this Information Disclosure Statement under Rule 97(c) and charge the requisite Rule 17(p) fee to our Deposit Account No. 50-1390, under Order No. BS99-202 and proceed to consider this Information Disclosure Statement.

It is respectfully requested that the information be expressly considered during the prosecution of this application, and that each reference be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

Serial No.: 09/746,507  
Art Unit: 2161  
Attorney's Docket No.: BS99-202

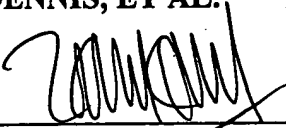
This submission does not represent that any referenced document is material or constitutes "prior art." If it should be determined that one or more of the referenced documents constitute "prior art" under United States law, Applicants reserve the right to present to the Office the relevant facts and law regarding the appropriate status of the reference or references.

Applicants further reserve the right to take appropriate action to establish the patentability of the disclosed invention over any referenced document, should it be applied against the claims of the present application.

Respectfully submitted,

DENNIS, ET AL.

By:

  
\_\_\_\_\_  
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Date: October 12, 2001

LJG/PCC/ge

Document #: 1154120 v.1

[illegible]

**INFORMATION DISCLOSURE CITATION**  
(Use several sheets if necessary)

FEB 09 2004

U.S. PATENT & TRADEMARK OFFICE

Docket Number (Optional)  
**BS99-202**

Application Number  
**09/746,507**

Applicant(s)  
**DENNIS, ET AL.**

Filing Date  
**12/26/00**

Group Art Unit  
**2161**

\*EXAMINER  
INITIAL

**OTHER DOCUMENTS** (Including Author, Title, Date, Pertinent Pages, Etc.)

IBM Voice Systems ([www-4.ibm.com/software/speech/enterprise](http://www-4.ibm.com/software/speech/enterprise))

IBM Voice Systems ([www-4.ibm.com/software/speech/enterprise/ms\\_0.html](http://www-4.ibm.com/software/speech/enterprise/ms_0.html))

Metroplex Voice Computing, Inc. ([www.metroplexvoice.com](http://www.metroplexvoice.com))

Metroplex Voice Computing, Inc. ([www.metroplexvoice.com/toc.htm](http://www.metroplexvoice.com/toc.htm))

Metroplex Voice Computing, Inc. ([www.metroplexvoice.com/news.htm](http://www.metroplexvoice.com/news.htm))

Metroplex Voice Computing, Inc. ([www.metroplexvoice.com/products.htm](http://www.metroplexvoice.com/products.htm))

Metroplex Voice Computing, Inc. ([www.metroplexvoice.com/medical\\_speech\\_recognition.htm](http://www.metroplexvoice.com/medical_speech_recognition.htm))

Voice Recognition Systems Home Page ([www.iglou.com/vrsky/rightframe.htm](http://www.iglou.com/vrsky/rightframe.htm))

Lernout & Hauspie ([www.lhsl.com/default2.htm](http://www.lhsl.com/default2.htm))

Lernout & Hauspie, "The Language of Business" ([www.lhsl.com/business](http://www.lhsl.com/business))

Lernout & Hauspie, "Voice Xpress" ([www.lhsl.com/voicexpress](http://www.lhsl.com/voicexpress))

TMA Associates ([www.tmaa.com](http://www.tmaa.com))

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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

VOIP  
FEB 09 2004

Docket Number (Optional)

BS99-202

Application Number

09/746,507

Applicant(s)

DENNIS, ET AL.

Filing Date

12/26/00

Group Art Unit

2161

\*EXAMINER  
INITIAL

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

TMA Associates, Editor's Notes (May 2000) ([www.tmaa.com/voice\\_web.htm](http://www.tmaa.com/voice_web.htm))

Fonix ([www.fonix.com](http://www.fonix.com))

Dragon Systems Inc.: Dragon Naturally Speaking Preferred Edition ([www.zdnet.com/pcmag/features/speech/rev1.html](http://www.zdnet.com/pcmag/features/speech/rev1.html))

Nuance Verifier 2.0 ([www.nuancecom.com/products/verifier.html](http://www.nuancecom.com/products/verifier.html))

Nuance Vocalizer ([www.nuancecom.com/products/vocalizer.html](http://www.nuancecom.com/products/vocalizer.html))

Nuance 7.0 ([www.nuancecom.com/products/nuance7.html](http://www.nuancecom.com/products/nuance7.html))

Nuance ([www.nuancecom.com](http://www.nuancecom.com))

Nuance Powers the Voice Web ([www.nuancecom.com/partners/voiceweb.html](http://www.nuancecom.com/partners/voiceweb.html))

Nuance Product Offering ([www.nuancecom.com/products/products.html](http://www.nuancecom.com/products/products.html))

Nuance Voyager ([www.nuancecom.com/products/voyager.html](http://www.nuancecom.com/products/voyager.html))

Nuance Solutions ([www.nuancecom.com/solutions/solutions.html](http://www.nuancecom.com/solutions/solutions.html))

Nuance Enterprise Solutions ([www.nuancecom.com/solutions/enterprise.html](http://www.nuancecom.com/solutions/enterprise.html))

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# INFORMATION DISCLOSURE CITATION

(Use several sheets if necessary)

TYPE JC98  
FEB 09 2004  
TRADEMARK OFFICE

Docket Number (Optional)

BS99-202

Application Number

09/746,507

Applicant(s)

DENNIS, ET AL.

Filing Date

12/26/00

Group Art Unit

2161

\*EXAMINER  
INITIAL

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Nuance Telecom Solutions ([www.nuancecom.com/solutions/telecom.html](http://www.nuancecom.com/solutions/telecom.html))

Nuance Internet Solutions ([www.nuancecom.com/solutions/internet.html](http://www.nuancecom.com/solutions/internet.html))

Nuance Services ([www.nuancecom.com/services/services.html](http://www.nuancecom.com/services/services.html))

Nuance Speech Application Design and Development ([www.nuancecom.com/services/speech\\_application\\_design.html](http://www.nuancecom.com/services/speech_application_design.html))

Delivering Speech Technologies with the Fonix FFAST® Framework, Part I (a white paper), February 2001

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